

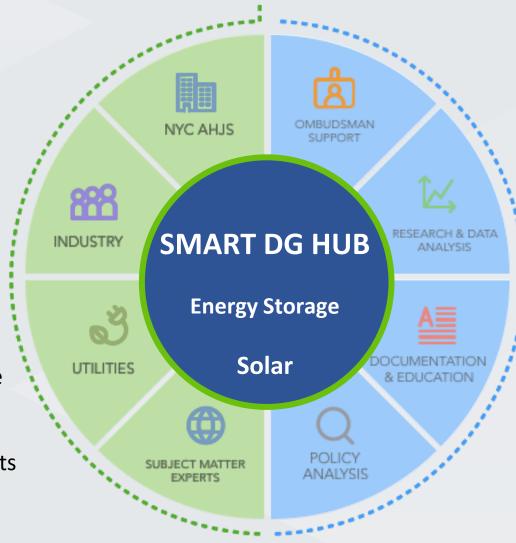




Sustainable CUNY- Smart DG Hub

ENGAGEMENT OF STAKEHOLDERS

- Mayor's Office
- NYC DOB
- FDNY
- NYCHA
- ConEd
- NYPA
- NYSERDA
- Department of Energy
- NYC Solar Installer Roundtable
- DG Hub Roundtable
- National Laboratories
- Industry Subject Matter Experts
- CUNY Faculty (Hunter, City College, Energy Institute, CSI)



PATHWAYS TO PROGRESS

- NY Solar Map & Portal
- NY Solar/DG Ombudsmen
- Solar/Storage Permitting Guides
- Industry Training
- Agency Training
- NYC DG Roadmap
- Property Tax Abatement Analysis
- Solar Pro-Cert
- Solarize Pilots
- Summits and Workshops
- Zoning Analysis
- Largest Community Shared Solar Program in the Country (NYCHA)





Timeline: ESS Permitting in NYC and National Standards

2017

New York City

- Feb 2017 DG Hub, NYSERDA, FDNY, / DOB permitting initiative
- Feb Dec 2017 Weekly meetings with AHJs on guidelines

National Standards

- Aug 2017 IFC 2018 published
- Oct 2017 NFPA 855 first draft
- Nov 2017 UL 9540A 1st edition

2018

New York City

- April 2018 NYC outdoor permitting guidelines published
- **Sept 2018** DOB 1st storage bulletin
- Nov 2018 UL flow charts developed

National Standards

- Jan 2018 UL 9540A 2nd edition
- June 2018 UL 9540A 3rd edition
- July 2018 NFPA 855 second draft

2019

New York City

- Jan 2019 DOB 2nd storage bulletin
- July 2019 ConEd Bulk Storage RFP issued
- **July 2019** NYS emergency rule published
- Aug 2019 NYSERDA Guidebook published
- **Sept 2019** DOB storage zoning bulletin
- Oct 2019 FDNY storage rule published
- Nov 2019 Stakeholder feedback

National Standards

- Sept 2019 NFPA 855 published
- Nov 2019 UL 9540A 4th edition

2020

New York City

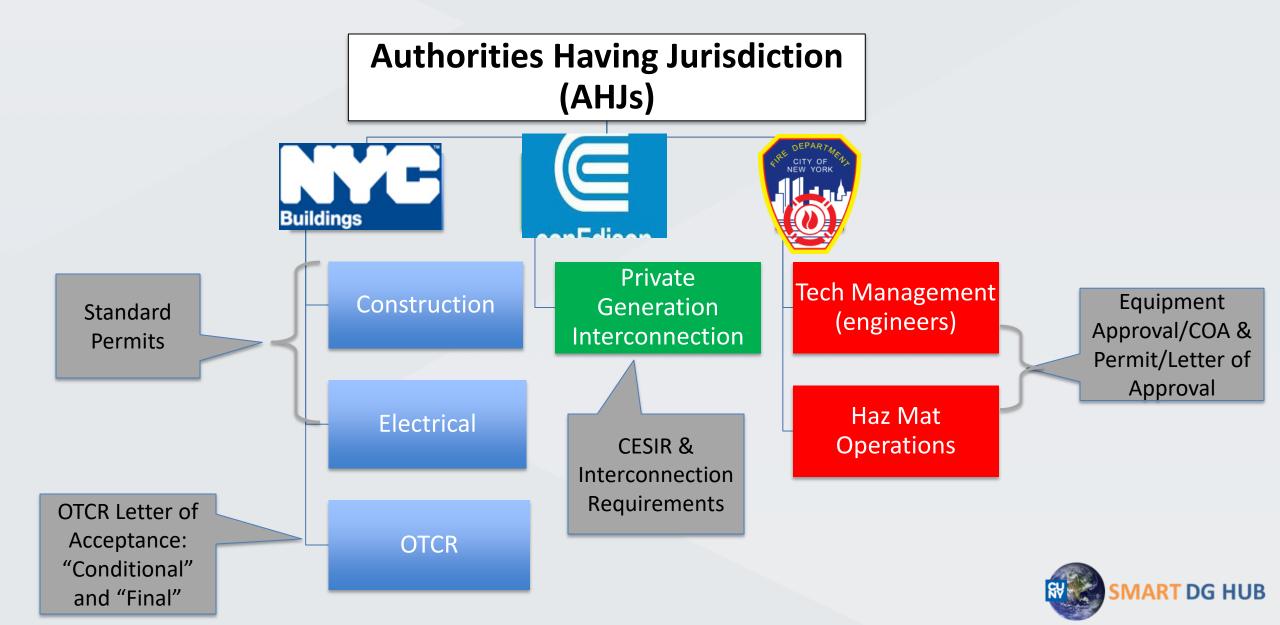
- Feb 2020 Updated NYC Permitting Guide
- April 2020 NYC UL 9540A
 Data Utilization Guide &
 Flow Charts
- Dec 2020 Permitting in NYC: FDNY Emergency Management Plan Preparation Guide
- Dec 2020 Permitting in NYC: Conceptual Design Meeting Preparation Guide

National Resource

• **Feb 2020** UL 9540A 2nd edition



NYC Agencies & Approvals



DOB Permitting: Buildings Bulletin 2019-002

- Issued January 30, 2019
- Available at https://www1.nyc.gov/assets/buildings/bldg s bulletins/bb 2019-002.pdf
- Outlines filing and submittal requirements, approval process for DOB standard permits and OTCR special approval.
- References OTCR Battery Application
 Checklist, Required Submittal Information e.g. project design specs, safety features, etc.
- Applies to specified battery chemistry types

 lithium-ion, flow batteries, lead acid, and
 VRLA; indoor and outdoor.



NYC Buildings Department 280 Broadway, New York, NY 10007

Rick D. Chandler, P.E., Commissioner



BUILDINGS BULLETIN 2019-002

Supersedes: 2018-012

ssuer: Alan Price, P.E. Mellin

Director, Office of Technical Certification and Research

Issuance Date: January 30, 2019

Effective Date: Immediately to applications submitted after issuance date

Purpose: This document establishes filing and submittal requirements, and outlines the approval

process for lithium-ion, flow batteries, lead acid, and valve regulated lead-acid battery

energy storage systems listed to UL 9540.

 Related
 MC
 502
 NYC EC
 Article 408

 Code/Zoning
 BC
 509
 NYC EC
 Article 685

 Section(s):
 FC
 608
 NYC EC
 Article 705



FDNY Permitting: FDNY Rule 3RCNY 608-01

- Enacted October 1, 2019
- Available at https://www1.nyc.gov/site/fdny/codes/fire-department-rules/fire-dept-rules.page
- Establishes standards, requirements, and procedures for design, installation, O&M, record-keeping
- Applies to <u>outdoor</u> systems only, <u>not</u> UPS, emergency/backup power
- Applicability of requirements varies depends on system size & battery chemistry type

<u>Table 1</u> Stationary Storage Battery System Size Thresholds

Battery Technology	Aggregate	Rated Energy Capacity	
_	Small	Medium	Large
Lead Acid Battery	≤70 kWh	≥70 kWh and ≤ 500 kWh	> 500 kWh
Ni-Cd Battery	<u>≤70 kWh</u>	>70 kWh and ≤ 500 kWh	> 500 kWh
NiMH Battery	≤70 kWh	≥70 kWh and ≤ 500 kWh	> 500 kWh
Li-ion Battery	≤20 kWh	≥20 kWh and ≤ 250 kWh	> 250 kWh

Flow Batte

<u>Table 2</u>
<u>Stationary Storage Battery System Compliance Requirements</u>

Section	Compliance Requirement	Small	Medium	Large
<u>(c)</u>	General Provisions			
(c)(4)	Permit	No	Yes	Yes
(c)(5)	Supervision (Certificate of Fitness)	Yes	Yes	Yes
(c)(6)	Obligations of Owner and Operator	Yes	Yes	Yes
(c)(7)	Listing and Full-Scale Testing Standards			
(c)(7)(A)	Listing			
	o <u>Lead Acid Battery</u>	Yes	Yes	Yes
	o <u>Ni-Cd or NiMH Battery</u>	Yes	Yes	Yes
	o <u>Li-Ion Battery</u>	Yes	Yes	Yes
	o <u>Flow Battery</u>	Yes	Yes	Yes
(c)(7)(B)	<u>Full-Scale Testing</u>			
	o <u>Lead Acid Battery</u>	No	No	<u>No^g</u>
	o <u>Ni-Cd Battery</u>	No	No	Nog
	o <u>NiMH Battery</u>	No	No	Nog
	o <u>Li-Ion Battery</u>	Yes	Yes	Yes
	o <u>Flow Battery</u>	No	No	Nog

NYC Outdoor Lithium Ion Permitting Guide

Energy Storage System Permitting and Interconnection Process Guide For New York City Lithium-Ion Outdoor Systems

February 2020

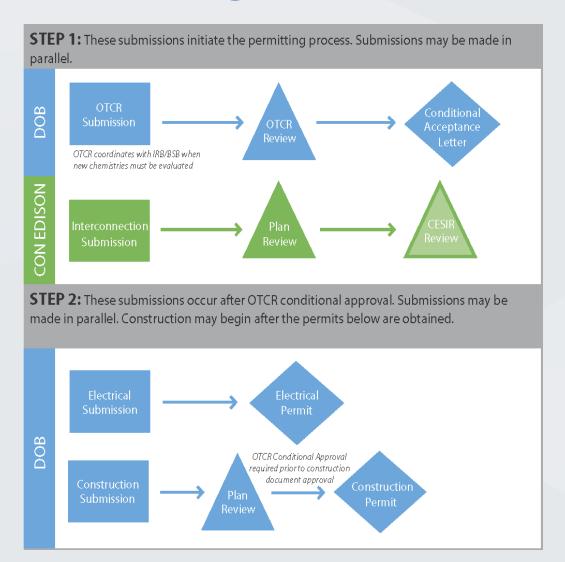


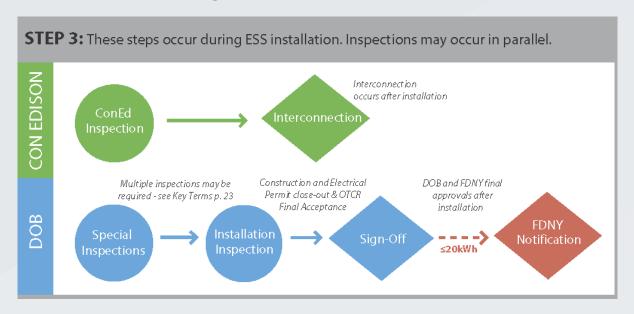
- Comprehensive guide across all 3 approval entities FDNY, DOB, Con Edison (for grid interconnection)
- Originally published April 2018
- Updated and re-released Feb 2020
- Available at https://nysolarmap.com/media/2038/li-ionpermitting-guide-updates_feb-2020final.pdf
- Consolidates **all** application requirements, submission documents, project/site design requirements, and approval processes
- Information provided:
 - **Multi-agency Overview**
 - **Small/Medium/Large Process Flowcharts**
 - **Individual Agency How-To Details**
 - **Lithium-Ion Comprehensive Checklist**

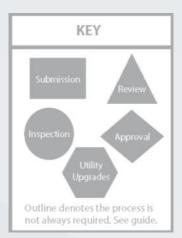




Permitting Process Flowchart: Small systems







ACRONYMS TM: Technology Management HM: Hazardous Materials OTCR: Office of Technical Certification and Research IRB: Innovation Review Board BSB: Buildings Sustainability Board CESIR: Coordinated Electric System Integration Review

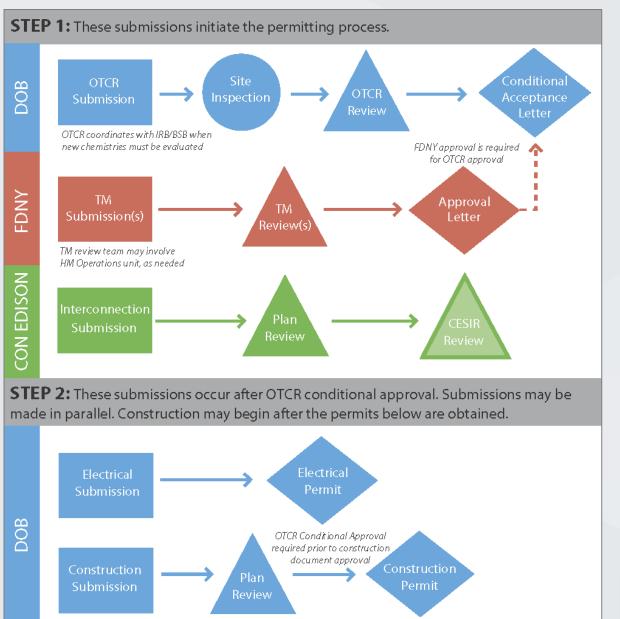
The timeline for these processes vary by project size and location, product listings, completeness of required documentation, and other factors. Applicants may need to resubmit documentation as requested. Currently, FDNY, DOB and Con Edison cannot provide a projected timeline.

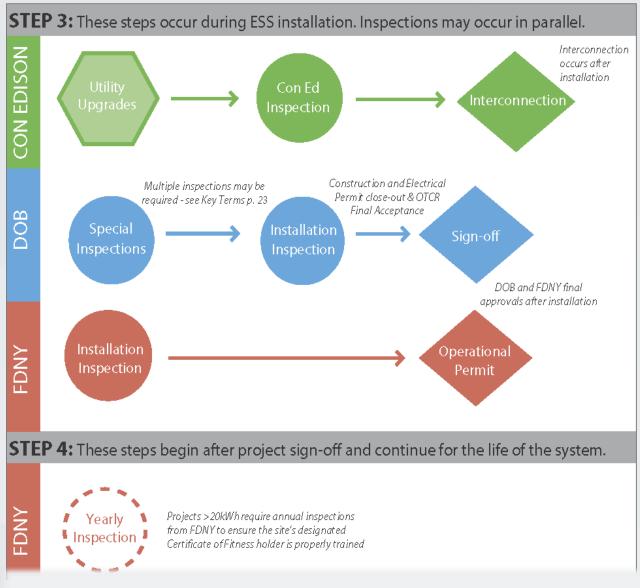
TIMELINE

https://nysolarmap.com/solarplusstorage/



Permitting Process Flowchart: Large/medium systems





https://nysolarmap.com/solarplusstorage/

NYC Outdoor Lithium Ion Permitting Guide

Energy Storage System Permitting and Interconnection Process Guide For New York City Lithium-Ion Outdoor Systems

MART DG Hub

February 2020



Table of Contents Permit INTRODUCTION..... **DEVELOPMENT OF THE PROCESS** PROCESS GUIDE CONTENTS SUMMARY OF THE PERMITTING PRO NOTES ON PERMITTING PROCESS ESS PERMITTING AND INTERCONNE NYC Permitting & Interconnection NYC Permitting & Interconnection P. NYC Permitting & Interconnection P. PERMITTING PROCESSES BY AUTHO NYC DEPARTMENT OF BUILDINGS FIRE DEPARTMENT OF THE CITY C

APPLICANT CHECKLIST FOR OU CON EDISON PROCESS

KEY TERMS

CONSTRUCTION PERMIT FOR BATTERY STORAGE TECHNOLOGIES

d		В			AL PERMI RAGE TECH	T FOR INOLOGIES
sion ents	Permit a	Electri and th	DO			CESS FOR MATERIAL ACCEPTANCE BY STORAGE TECHNOLOGIES
	Required Submission Documents	• Fi	OTCR Approval	Si		FDNY APPLICATION PROCESS FOR ESS
		Note: I Profes		11 11	Required Submission Documents	TM-2 form (Certificate of Approval for new equipment*) *For equipment that has not previously received a COA from the FDNY. To be submitted by the equipment manufacturer or authorized officer of the manufacturer. TM-1 form(s) (Application for Plan Examination)
	How to	May b	Required Submission Documents			Medium and Large battery systems require a TM-1 for the battery system and where applicable, separate TM-1 forms will also be required for the fire alarm system and the fire suppression system
	Submit Fees	Fees v			Who can submit the application	The TM-1 application(s) shall be prepared by a registered design professional or an expert in the subject field. The TM-2 application, when required, shall be submitted by the equipment manufacturer or authorized officer of the manufacturer.
		3018) Note: I "Depar	How to Submit		When to submit	Submissions to FDNY and the DOB can be made in parallel. If a DOB job number has been issued, include this under item #7 on the TM-1.
	Timeline	Issued	Fee Timeline	\$6	How to submit	In-person: Window #8, 1 st Floor, 9 Metrotech Center Hours: Mon-Fri, 8am – 3pm Mail*: Fire Department of the City of New York
	Summary of key steps	1. A 2. Ir	Summary of key steps	1 2		Bureau of Fire Protection Technology Management 9 MetroTech Center, Third Floor, Room 3W-2 Brooklyn, NY 11201-3857 *Except fire alarm plans – these must be submitted in person
		3. A 4. Ir		3	Fee	\$420 per TM-1 application + \$525 fee for new technology/technical analysis (non-refundable). Credit cards, checks, and money orders are accepted. \$625 per TM-2 application for new/original applications, \$50 for renewal applications.
	Contact	Electri			Timeline	Applications are typically reviewed within 40 business days. This timeline may increase if additional site visits or other information is required, or if the FDNY is unfamiliar with the battery technology being considered.
					Steps	Applicant submits all applicable paperwork (Applicant should notify FDNY

Lithium Ion – Applicant Checklist Chart

Permitting and Interconnection Process Guide For New York City Lithium-Ion Outdoor Systems

Permitting and Interconnection Process Guide For New York City Lithium-Ion Outdoor Systems

APPLICANT CHECKLIST FOR OUTDO

The following checklist is a comprehensive list of or requirements for permitting applications, including documentation and details below when preparing with further details as outlined in Appendix A, the

Docume	entation	Details
FDNY	TM-1	Application for plan examination
	TM-2	Certificate of Approval for new* equ
	OTCR-2	Site specific approval application
	ED16-A	Electrical permit
DOB	PW1	Application for Plan/Work Approval
	PW3	Project cost estimate
	TR1	Technical Report: Statement of Resp
	TR8	Technical Report: Statement of Resp
Site pla	ns	Indicating placement of ESS contained
		scale, demonstrating compliance wit
Other st	tructures	If planned adjacent-to-building place
on site		non-combustible construction or ha
		compliance with adjacent placemen
		If another energy storage system is a
		indicated with storage capacity of ot
Site use		Industrial, commercial, residential, n
Site		Flood, seismic, environmental, and v
charact	eristics	NYC Construction Codes and NY Fire
System		A system specification or similar incl
descript	tion	description of system, total system
		total system weight and dimensions
Single li		Demonstrating compliance with NYO
drawing	5	energy storage and balance of syste
		and interconnection of equipment, i
		or emergency stops.
UL 1973		Certification required
UL 1741		Certification required
UL 9540)	Generic system certification require
		Project-specific certification require
UL 9540	PΑ	UL 9540A testing and test data. Whe
		conducted, AHJs will determine a re
		testing shall be completed. Each sys
Explosio		once. Based on UL 9540A-compliant test d
analysis		test laboratory.
anaiysis		test laboratory.

Fire analysis	Based on UL 9540A-compliant to
•	test laboratory.
	Generic FMEA required, in accor
	stipulated requirements as outli
	by NYS PE.
FMEA	Site specific FMEA required, if no
	9540 certification, in accordance
	requirements as outlined in App
Battery	If not included in system specific
specification	including total number of batter
Inverter	If not included in system specific
specification	including make, model, and ratio
specification	If not included in system specific
System	including confirmation that cont is approved or appropriate for p
encasement	applicable), and is secured again
specification	
•	Drawing of cabinets or racks wit
	type of each.
Communication	If not included in system specific
and controls	including: 1) description of 24/7
specification	string, and battery level of at lea
	2) approved energy managemen
	current, voltage, and temperatu
	case of emergency conditions; 3
	indicator (screen or indicator ligh
	active, faulted); 4) Delineation o
	operation ranges; 5) communica
Monitoring and	If not included in system specific
alarms	for smoke, gas, and temperature
specification	visual alarms in the area. If a det
	suppression system is required,
	required.
	Drawing of suppression system,
	results ⁴ . Water pressure and flow
Fire protection	calculations.
system	If system is installed on a roofto
description	suppression system, drawing of
	to Fire Department Connection
	provided.
Non-water	If installed, specification sheets
suppression	name, system details, and MSDS
system	position within container.
Specification for	Specification sheet for HVAC or
ventilation and	maintain safe temperature range

maintain LFL below 25%.

exhaust system

Permitting and Interconnection Pro

Deflagration	Based on explosion analysis
venting and	exhaust and deflagration ve
exhaust	exhaust, flame, or explosion
	from combustible materials
Installation and	Plan should include coordina
commissioning	authority.
plans	
Operations and	O&M manual provided, or s
maintenance	that maintenance must be lo
plan	107.7, available for inspection
	provided at the required sho
	representative in a labeled b
	Department Personnel. Syst
	systems shall be listed and i
Decommissioning	Description of planned proc
and disposal plan	information, recycling inforr
	transportation plan.
Emergency	Plan must be available on sit
management	least: 1) List of considered is
plan	detected and assessed; 3) O
	shut-down procedures and I
	aware of; 4) Emergency not
	SME, operators, owners, AH
	applicable; 5) Response time
	(including spill control and n
	repair, and/or system remov
Signage	Signage must comply with S
_	the container and at entrand
	additionally be labeled as re
	code, or as required by certi
Rooftop	If installed on a rooftop, and
structural	structurally capable of hand
analysis	
•	Description of building as no
Rooftop	combustible assembly, and
materials	combustible, extending at le
descriptions	If installed on dunnage, dun
acscriptions	
	If installed on dunnage, dun

Permitting and Interconnection Process Guide For New York City Lithium-Ion Outdoor Systems

¹Siting requirements:

- Must demonstrate compliance with NYC zoning requirements per zoning area and equipment category.
- Description of access to energy storage system equipment and clearly defined and maintained means of
 egress as required by code (both Fire and Building Codes' Chapter 10, as applicable).
- Individual containers may not exceed 53' x 8.6' x 9.6'.
- Must indicate distance from other site features, regardless of proximity to energy storage system, covering at least:
 - Minimum of 10' from: Lot lines, public ways, buildings (and air intakes or openings such as doors and windows), stored combustible material, hazardous material, high piled stock, other exposure hazards, means of egress, and required exits;
 - OR can install a line of protection if approved by AHJ;
 - OR if explosion and fire analysis using data obtained from UL 9540A testing demonstrates otherwise and is not in conflict with zoning or building code. DOB requires review and approval of data obtained under UL 9540A testing.
- · Indicate location and distance from fire hydrants and standpipes, as applicable.
- Location of shut-off and electrical disconnects on site must be specified on plans or described and should be within line of sight or clearly signed, and be compliant with NEC Article 706 and ADA.
- . If installation on rooftop below 100 ft, description of how installation complies with NYC Fire Code 504.4.

² Adjacent to building requirements:

- Must be under 20 kWh.
- Building must be non-combustible:
 - OR a 1-hour fire rated assembly over the existing building surface that extends 5 feet on either side of the container and 10 feet in the direction of expected flame travel in the event of a fire.
- AND installed at least 5 ft. from any openings in walls (windows, doors, vents, etc.) and 10 ft. from required exit;
 - OR where insufficient space, a non-combustible or 1-hour fire rated assembly barrier may be put in place, if approved by AHJ.
- UL 9540A test results may be submitted to OTCR for evaluation. OTCR may omit the above requirements based on their evaluation.

⁵ Spill Control and Neutralization Requirements:

- For free-flowing electrolyte, method and materials shall be capable of neutralizing a spill of the total capacity from the largest cell or block to a pH between 5-9.
- For immobilized electrolyte, the method and material shall be capable of neutralizing a spill of 3% of the capacity of the largest cell or block to a pH between 5-9.

⁶ Signage Requirements:

- Dimensions at least 8.5" x 11".
- Made of durable material.
- · Must have non-glare finish, and characters must contrast with background.
- · If sign fades, a new one must replace it.
- · Characters must be a minimum of 0.5" in height.
- Sign must be securely attached at approximately 5 ft.
- Sign will include following or equivalent:

³Over 20kW system site requirements are to be evaluated on a case by case.

⁴ Applicability pending UL 9540A testing results.

NYC Permitting: Recent Updates

DOB Buildings Bulletin 2020-023

- Released Dec. 15, 2020
- Available at https://www1.nyc.gov/assets/buildings/bldgs_bulletin s/bb_2020-023.pdf
- Establishes new zoning designations/classifications for ESS as Accessory Mechanical Equipment.
- Opens up additional potential rooftop siting.

DOB Peer Review

 Third-party analysis of 9540A test data application to fire protection design.



December 15, 2020

NYC Buildings

ISSUER: Keith L. Wen, R.A.

Assistant Commissioner
Code & Zoning Interpretation

PURPOSE: This bulletin clarifies the applicable limitations when establishing

stationary storage battery systems and stationary fuel-cell power systems accessory to the principle uses they serve within a single zoning lot and outlines the filing procedures for such systems.

SUBJECT(S): Accessory use; Stationary storage battery systems; Stationary

fuel-cell power systems

RELATED CODE/ZONING/RULE/LOCAL LAW SECTIONS:

3 RCNY 608-01, ZR Article 6 Chapter 4, FGC 633.1, Local Law 195 of 2018. 1 RCNY 101-12, AC 28-105.4.26

The City of New York is committed to reducing its greenhouse gas (GHG) emissions by at least 80 percent by 2050 (80X50). New York City must transition away from using fossil fuels and move towards a renewable-based electric grid, an expansion of renewable energy across the city to prevent outages and unpredictable weather impacts. The major catalyst for the reduction of GHG emissions, to date, has been changes to the electric supply by promoting clean, distributed energy resources (DER), which include customer-owned renewable energy sources, stationary storage battery systems and stationary fuel-cell power systems.

I. APPLICABILITY

This Bulletin establishes criteria for classifying stationary storage battery systems and stationary fuel-cell power systems as accessory uses and outlines the filing procedures for such systems.

Stationary storage battery systems and stationary fuel-cell power systems, when meeting the definition of accessory use in the Zoning Resolution, must be accessory to the principal use(s) within a single zoning lot. For the purposes of establishing stationary storage battery systems and stationary fuel-cell power systems as accessory uses, the size, location, the energy storage capacity of the battery systems, and the energy



NYC Permitting: Recent Updates (continued)

Developer Guidance Document – Emergency Management Plan

- Available at https://nysolarmap.com/resources/reports-and-guides/solarplusstorage/storage-permitting/
- Provides an outlined summary of the information and details to include in the Emergency Management Plan, a required component of the permitting package for Large (>250kWh) and Medium (>20-250kWh) ESS projects

Developer Guidance Document – Conceptual Design Meeting Prep Checklist

- Available via link above
- Provides a checklist of information suggested to prepare for initial meetings with AHJs

FDNY Certificate of Approval

- "Pre-approval" of ESS products provides review and approval of products with installation criteria/specifications.
- Not a new requirement but as more ESS products obtain UL certification & testing, COA will become standard process, eliminates site-specific product/equipment approval.



NYC Permitting: In the Pipeline

FDNY Fire Code Update

- Current code revision process will update existing fire code chapter covering batteries
- Will address indoor installations
- Will address UPS, emergency, standby battery systems
- Applicability of siting/installation/design/safety features will be based on equipment approval (FDNY COA, Certificate of Approval)

DOB – NFPA 855 Adoption

- Working group tasked with reviewing NFPA 855 and suggesting modifications to passages as needed.
- NFPA 855 with modifications will serve as basis for streamlined DOB requirements for all battery types, indoor and outdoor systems.



NYC Permitting: In the Pipeline

Developer Guidance Document – Site Plan Checklist

- Guidance document for creating Site Plan, part of the submittal package for Installation Approval
- Pertains to outdoor, large (>250 kWh Li-ion) ESS

Developer Guidance Document – Decommissioning Plan

- Guidance document for creating ESS Decommissioning Plan, part of the submittal package for Installation Approval
- Pertains to outdoor, large (>250 kWh) ESS

Industry Outreach: Certificate of Approval Information Session

 Information session(s) aimed at educating battery industry/manufacturers about the NYC COA process

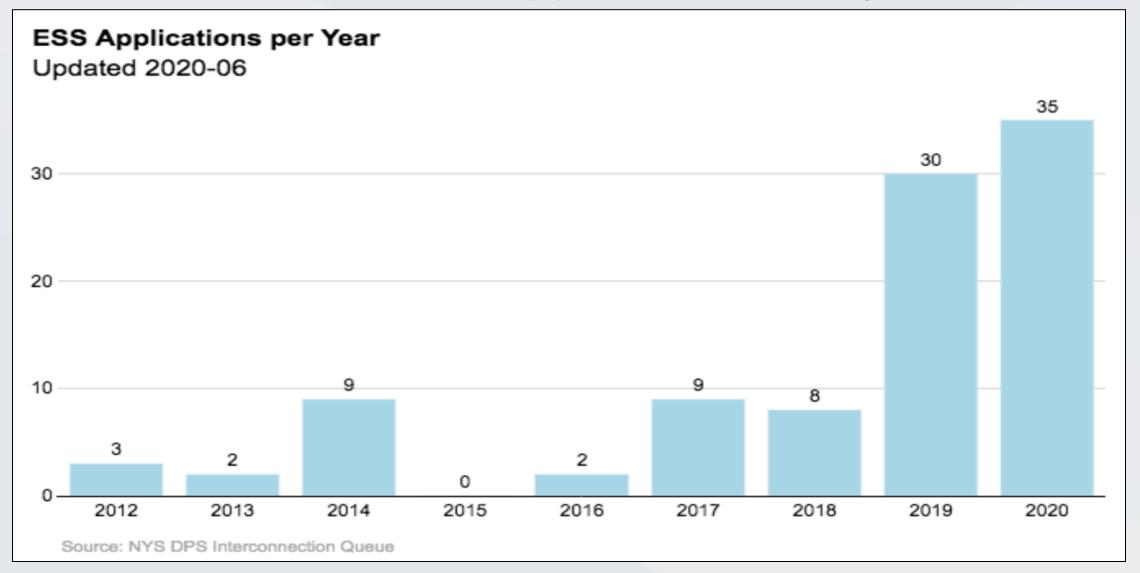






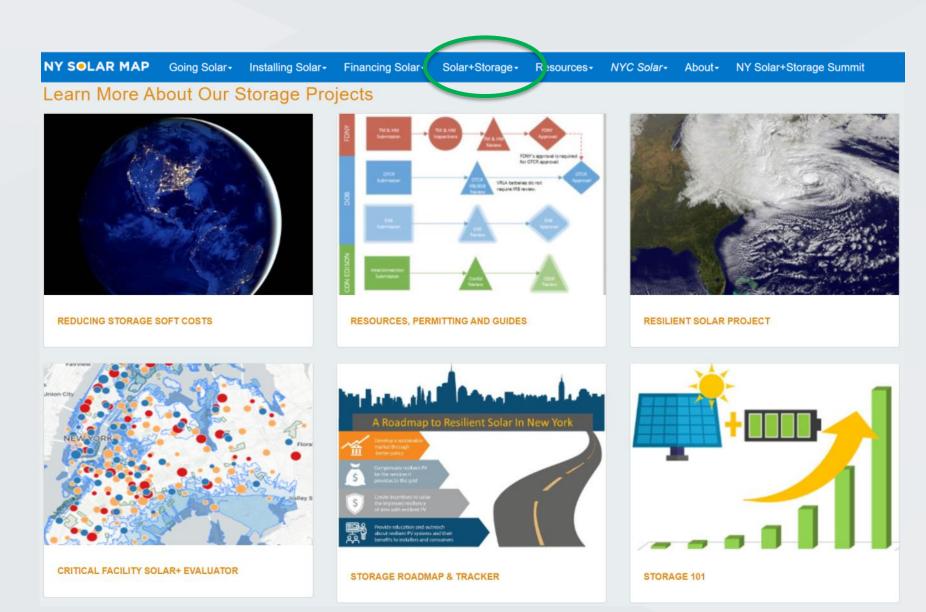


NYC ESS Interconnection Application History

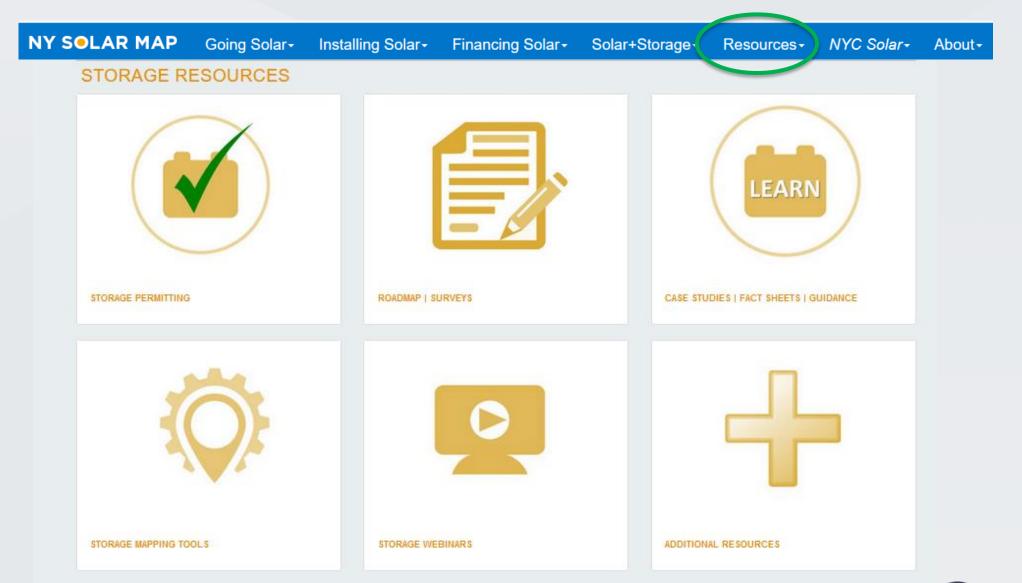




Smart DG Hub Projects: www.smartdghub.org



NYSOLARMAP.COM





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NY SOLAR MAP

Going Solar-

Installing Solar-

Financing Solar -

Solar+Storage

Resources +

NYC Solar-

About-

Energy Storage







NYC Energy Storage: UL 9540A Data – NYC Utilization Guide

Webinar: 58:29

4/14/2020 | Sustainable CUNY and partners hosted a complimentary webinar on April 14th that was an in-depth review of the UL 9540A Data Utilization Guide for NYC, recently published by our Smart DG Hub.

NYC Solar and Storage Installer Workshop Part 1

Webinar: 2:47:04

3/27/2020 | Sustainable CUNY and Con Edison conducted their annual workshop as a two-day webinar. Part 1 included presentations from Con Edison, the NYC Department of Buildings, and the Fire Department of New York

NYC Solar and Storage Installer Workshop Part 2

Webinar: 2:50:08

3/30/2020 | Part 2 of the Sustainable CUNY and Con Edison workshop webinar included presentations from Sustainable CUNY's Smart DG Hub, NYSERDA, the NYC Mayor's Office of Sustainability, DCAS, NYCHA and Con Edison



In-depth webinars on the development of UL standards for storage can be found on the Reducing Storage Soft Costs page



Thank you!

Questions? Comments?
Contact us at SmartDGhub@cuny.edu
www.smartdghub.org

